

# IRA Transfer/Rollover Form

## W I N S L O W GREEN MUTUAL FUNDS

Mail To: Winslow Green Mutual Funds  
c/o U.S. Bancorp Fund Services, LLC  
PO Box 701  
Milwaukee, WI 53201-0701

Overnight Express Mail To: Winslow Green Mutual Funds  
c/o U.S. Bancorp Fund Services, LLC  
615 E. Michigan St., FL 3  
Milwaukee, WI 53202-5207

For additional information please call toll-free **1-888-314-9049** or visit us on the web at **www.winslowgreen.com**.

### **! Important Shareholder Information**

There may be penalties for withdrawing certain investments before their maturity (i.e., certificates of deposit or annuities). Please contact your current custodian or plan administrator prior to submitting this form to determine the applicable time frames and penalties, if any, and/or if you need a signature guarantee in Section Six to order this transfer. **U.S. Bancorp Fund Services, LLC will initiate your request upon receipt of this form.**

### 1. Investor Information

FIRST NAME \_\_\_\_\_ M.I. \_\_\_\_\_ LAST NAME \_\_\_\_\_  
SOCIAL SECURITY NUMBER \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
CITY / STATE / ZIP \_\_\_\_\_  
DAYTIME PHONE NUMBER \_\_\_\_\_ EVENING PHONE NUMBER \_\_\_\_\_

### 2. Instructions to Current IRA Custodian or Plan Administrator

CURRENT CUSTODIAN OR PLAN ADMINISTRATOR \_\_\_\_\_  
ACCOUNT NUMBER \_\_\_\_\_ CONTACT PERSON \_\_\_\_\_ CONTACT NUMBER \_\_\_\_\_  
ADDRESS \_\_\_\_\_

Consider this your authorization to transfer my IRA, SEP IRA, SIMPLE IRA, or Roth IRA, or to directly rollover my qualified retirement plan as directed below:

- All Assets  
OR  
 \$ \_\_\_\_\_ or \_\_\_\_\_ %

\*Please liquidate all  
assets if no selections  
are made.

Please process this request:

- immediately  
OR  
 at maturity \_\_\_\_\_ (month / day / year)

Type of account being transferred/rolled-over:

- Pension  PSP  401(k)  403(b)  Roth 401(k)  Roth 403(b)  Traditional IRA  
 SEP IRA  SIMPLE IRA  Roth IRA  Other \_\_\_\_\_

Original Roth IRA funding year (if applicable) \_\_\_\_\_

Original SIMPLE IRA funding date (if applicable) \_\_\_\_\_

Send the check representing the assets payable to "Winslow Green Mutual Funds" along with a copy of this form to:

Winslow Green Mutual Funds  
FBO [Shareholder Name]  
[Account Number]  
c/o U.S. Bancorp Fund Services, LLC  
P.O. Box 701  
Milwaukee, WI 53201-0701

### 3. Account Information

A Winslow Green Mutual Funds IRA Account Application must be completed to process this transfer if a new account is being established. The Fund(s) and the allocation(s) specified on the Application will be used if they are different from those indicated below.

	New	Existing	Account # (if applicable)	Amount	Percentage
<input type="checkbox"/> Winslow Green Growth Fund - Investor Shares	1785	<input type="checkbox"/>	_____	\$ _____	OR _____ %
<input type="checkbox"/> Winslow Green Growth Fund - Institutional Shares	1786	<input type="checkbox"/>	_____	\$ _____	OR _____ %
<input type="checkbox"/> Winslow Green Solutions Fund - Investor Class	1787	<input type="checkbox"/>	_____	\$ _____	OR _____ %

### 4. Age 70 1/2 Information

Check one of the following:

I am under the age of 70 1/2 and do not turn 70 1/2 at anytime during the calendar year

OR

I am age 70 1/2 or older and understand that no part of my required minimum distribution is eligible for transfer or rollover. I further understand that there may be significant tax penalties if a transfer or rollover of my required distribution occurs.

### 5. Conversion of Traditional IRA to Roth IRA

Check here if you are distributing assets from a Traditional IRA with the intention of establishing a Roth IRA.

### 6. Signature and Certification

I certify that I have established an IRA with The Winslow Green Mutual Funds, of which U.S. Bank, NA, is the Custodian. I agree to contact my present Custodian from whom I am transferring to determine if specific documentation or a signature guarantee is required. I understand that I am responsible for determining my eligibility for all transfers or direct rollovers. I agree to hold the Custodian harmless against any and all situations arising from an ineligible transfer or direct rollover. I acknowledge that the Custodian or its agent cannot provide legal advice and I agree to consult with my own tax professional for advice.

I authorize U.S. Bancorp Fund Services, LLC, to act on my behalf in contacting the current custodian or plan administrator to facilitate the transfer of assets.

**X**

\_\_\_\_\_  
SIGNATURE OF OWNER (OR GUARDIAN IF IRA OWNER IS A MINOR)

\_\_\_\_\_  
DATE (Mo / Dy / Yr )

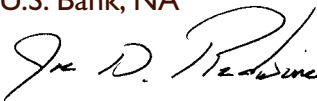
\_\_\_\_\_  
SIGNATURE GUARANTEE\* (FOR TRANSFERS FROM ANOTHER CUSTODIAN)

\* A signature guarantee may be obtained from any eligible guarantor institution, as defined by the Securities and Exchange Commission. These institutions include banks, saving associations, credit unions, and brokerage firms. The words "SIGNATURE GUARANTEED" must be stamped or typed near each signature being guaranteed. The guarantee must appear with the printed name, title, and signature of an officer and the name of the guarantor institution. **Please note that a Notary Public Seal or Stamp is not acceptable.**

### 7. Acceptance / Custodian Authorization

U.S. Bank, NA, hereby accepts its appointment as Custodian of the above IRA account and upon receipt of assets, will deposit such assets in a Winslow Green Mutual Funds IRA on behalf of the Depositor authorizing this transfer or direct rollover.

U.S. Bank, NA



**Before you mail, have you:**

- Completed an IRA Account Application if the transfer or direct rollover is going to a new account?
- Included documents from your current custodian or plan administrator, if required?
- Signed this form in Section 6?
- Consulted with your current custodian about any applicable fees or penalties and whether or not you need a signature guarantee?